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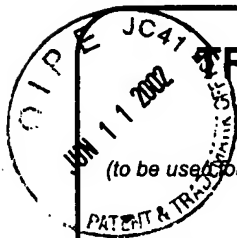
PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

29

Application Number

10/071,152

Filing Date

February 7, 2002

First Named Inventor

Lee, Wai-Hon

Group Art Unit

2881

Examiner Name

Attorney Docket Number

021503-000100US

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## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

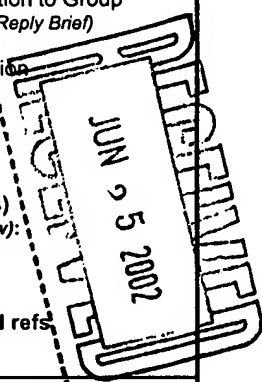
☒ Other Enclosure(s)  
(please identify below):

Return Postcard

Form SB08A w/ 2 cited refs

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.



## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Paul C. Haughey

Reg. No. 31,836

Signature

*Paul C. Haughey*

Date

June 4, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

June 4, 2002

Typed or printed name

Gloria Sikora

Signature

*Gloria Sikora*

Date

June 4, 2002

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PA 3227094 v1



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PATENT  
Attorney Docket No.: 021503-000100US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wai-Hon Lee

Application No.: 10/071,152

Filed: February 7, 2002

For: Optical Pickup Diffracting One of  
Two Laser Beams to a Single Detector

Examiner:

Art Unit: 2881

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97  
and §1.98

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TECHNICAL SERVICES 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

June 4, 2002

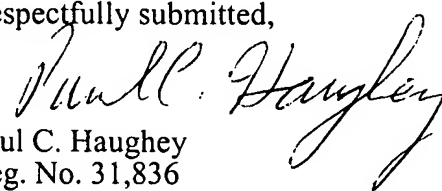
Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430.

Respectfully submitted,

  
Paul C. Haughey  
Reg. No. 31,836

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PCH:gjs

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